

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROGRAM STATUS
Local Assistance Lump Sum Allocation
Resolution:

Prepared By:
Terry Abbott
Chief
Division of Local Assistance
(916) 653-1776

CTC Meeting: February 28, 2002

Reference No.: 3.6

Original Signed by _____
ROBERT L. GARCIA
Chief Financial Officer
February 1, 2002

QUARTERLY LOCAL ASSISTANCE LUMP SUM ALLOCATION STATUS REPORT FOR 2001-2002 FISCAL YEAR

Background

The Local Assistance Program administers the local assistance subvention budget under authority of the California Transportation Commission (Commission). The Commission provides an annual lump sum allocation consistent with the Budget Act. The Commission further delegates to the Department the authority to adjust allocations for local assistance and report to the Commission if any transfers in or out of an expenditure category exceed 10 percent of the total allocation.

In June 2001, the Commission passed Resolution FM-01-02, the allocation of funds for local assistance for fiscal year 2001-2002. The allocation included approximately \$79 million in state funds and \$917 million in federal funds for a total of \$996 million.

Local Assistance Lump Sum Allocation Status for Fiscal Year (FY) 2001-2002

As of December 31, 2001, \$308 million or 31% of the \$996 million allocated has been expended. These funds were allocated for 468 local projects. The majority of these funds are for:

Surface Transportation Program – 169 projects, \$144.9 million
Congestion Mitigation & Air Quality Program (CMAQ) - 95 projects, \$80.7 million
TEA Program, Regional Share - 64 projects, \$28.4 million

The remaining 140 projects are a summary of all other funds or 30% of the total projects.

Thirty-four percent of the federal funds allocated have been expended while less than 5% of the state funds have been used. The delay in receiving the federal apportionments has prevented the exchange of approximately \$50 million in state funds. Most of this amount is expected to be allocated in the 3rd quarter.

Two categories have exceeded the original allocation made by the Commission. The Miscellaneous category has expenditures exceeding 200% of the allocation for this category. However, this represents only \$6 million of the total \$308 million expended to date. A preliminary review of the charges indicates some mischarging to this category is occurring. Corrections are expected by next quarter.

The Demonstration Projects category also exceeded the amount initially allocated. The allocation request for this category was initially zero since specific projects expected to receive funds during this fiscal year were not known. Including a category for Demonstration projects in the allocation from the Commission allows the Department to make allocations for these projects using excess funds from other categories.

The delivery to date for fiscal year 2001-02 (\$308 million) is slower compared to the same period last year (\$505 million). This difference between the two years can likely be attributed to the fact that federal fiscal year 01/02 federal apportionments had not been allocated as of December 31, 2001. Once the apportionments are allocated, the expenditures are expected to increase. Based on historical data, the fiscal year 2001-02 CTC allocation should be fully expended.

Attachment

LOCAL ASSISTANCE FUNDS
FY 2001-02
(As of December 31, 2001)

(Dollars in 1,000)

Fund Description	CTC Allocation			Total Expenditures			Allocation Balance			Percent of Allocation Expended	Number of Projects
	State	Federal	Total	State	Federal	Total	State	Federal	Total	Total	Total
Surface Transportation Pgrm (STP)	331,100		331,100		90,294	90,294	0	240,806	240,806	27.3%	160
Congestion Mitigation & Air Qual Pgrm (CMAQ)	350,235		350,235		11,456	11,456	0	338,779	338,779	3.3%	60
Bridge Seismic Retrofit State Match	15,000	54,300	69,300	608	8,400	9,008	14,392	45,900	60,292	13.0%	40
Highway Bridge Rehabilitation & Replacement (HBRR)		98,645	98,645		4,387	4,387	0	94,258	94,258	4.4%	33
Bridge Scour & State Match	900	3,300	4,200			0	900	3,300	4,200	0.0%	
RR Grade Crossing Protection		20,363	20,363		5,043	5,043	0	15,320	15,320	24.8%	14
RR Grade Crossing Maintenance	4,250		4,250			0	4,250	0	4,250	0.0%	
Railroad Grade Separations	7,500		7,500			0	7,500	0	7,500	0.0%	
Hazard Elimination & Safety (HES)		18,000	18,000		5,635	5,635	0	12,365	12,365	31.3%	32
Demonstration Projects		0	0		37,485	37,485	0	(37,485)	(37,485)		12
State Match for Transportation Enhancement Activities			0			0	0	0	0		
Transportation Enhancement Activities Exchange	3,000		3,000			0	3,000	0	3,000	0.0%	
Transportation Enhancement Activities, Regional Share		39,760	39,760		12,988	12,988	0	26,772	26,772	32.7%	63
RSTP State Match and Exchange		46,000	46,000			0	46,000		46,000	0.0%	
Miscellaneous	2,100	1,100	3,200	3,001	3,680	6,681	(901)	(2,580)	(3,481)	208.8%	9
Total Local Assistance Subvented Funds	78,750	916,803	995,553	3,609	179,368	182,977	75,141	737,435	812,576	18.4%	423
FTA Transfers					125,451	125,451	0	(125,451)	(125,451)		45
Total Local Assistance Including FTA Transfers	78,750	916,803	995,553	3,609	304,819	308,428	75,141	611,984	687,125	31.0%	468

Assumptions:

- ☐ The amounts do not include Freeway Service Patrol or Bay Area Ferry Operations.
- ☐ FTA transfers are \$54,577,700 for RSTP, \$69,251,555 for CMAQ and \$1,622,000 for TEA. These numbers are through December 2001.
- ☐ Misc. expenditures include projects not included elsewhere.
- ☐ Balances are based on allocation requests.
- ☐ The Allocation balance is the difference between CTC allocation and Total Encumbrances.
- ☐ FAU/FAS allotment and expenditures is shown under STP
- ☐ Expenditures and Number of Projects is from new LP2000 report - Local Assistance Funds dated Jan 18, 2002.